

For instructions or questions, call the Case Review Division at (202) 804-7000.

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Prohibited Personnel Practices (PPP)

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PART 1: IMPORTANT INFORMATION ABOUT FILING A COMPLAINT

Required Complaint Form. Complaints alleging a prohibited personnel practice or a prohibited activity must be submitted on this form, either by e-filing or by mail. Information not submitted on or accompanied by this form may be returned by OSC to the filer. The complaint will be considered filed on the date on which OSC receives the completed form. 5 C.F.R. § 1800.1, as amended.

No OSC Jurisdiction. OSC cannot take any action on complaints filed by employees of

- the FBI, CIA, DIA, NSA, National Geospatial-Intelligence Agency, ODNI, National Reconnaissance Office or other intelligence agencies excluded from coverage by the President;
- the Government Accountability Office:
- · the Postal Rate Commission; and
- the uniformed services of the United States (*i.e.*, uniformed military employees). OSC does have jurisdiction over civilian employees of the armed forces.

<u>Limited OSC Jurisdiction.</u> For employees of some federal agencies or entities, OSC's jurisdiction is limited to certain types of complaints, as follows –

- FAA employees only for allegations of retaliation for whistleblowing under <u>5 U.S.C. § 2302(b)(8)</u> and most allegations of retaliation for engaging in protected activities under <u>5 U.S.C. § 2302(b)(9)</u>.
- employees of government corporations listed at <u>31 U.S.C. § 9101</u> only for allegations of retaliation for whistleblowing under <u>5 U.S.C. § 2302(b)(8)</u> and most allegations of retaliation for engaging in protected activities under <u>5 U.S.C. § 2302 (b)(9)</u>.
- U.S. Postal Service employees only for allegations of nepotism.
- TSA employees only for allegations of discrimination under § 2302(b)(1), retaliation for whistleblowing under 5 U.S.C. § 2302(b)(8), and most allegations of retaliation for engaging in protected activities under 5 U.S.C. § 2302(b)(9).

Election of Remedies. You may choose only one of three possible methods to pursue your prohibited personnel practice complaint: (a) a complaint to OSC; (b) an appeal to the Merit Systems Protection Board (MSPB) (if the action is appealable under law or regulation); or (c) a grievance under a collective bargaining agreement. If you have already filed an appeal about your prohibited personnel practice allegations with the MSPB, or a grievance about those allegations under the collective bargaining agreement (if the action is grievable under the agreement), OSC may lack jurisdiction over your complaint. 5 U.S.C. § 7121(g).



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Complaints Involving Discrimination.

- Race, Color, Religion, Sex, National Origin, Age, and Disability (or Handicapping Condition): OSC is authorized to investigate discrimination based upon race, color, religion, sex, national origin, age, or disability (or handicapping condition), as well as retaliation related to EEO activity. 5 U.S.C. § 2302(b)(1). However, OSC generally defers such allegations to agency procedures established under regulations issued by the Equal Employment Opportunity Commission (EEOC). 5 C.F.R. § 1810.1. If you wish to report allegations of discrimination based on these bases, you should contact your agency's EEO office immediately. There are specific time limits for filing such complaints. Filing a complaint with OSC will not relieve you of the obligation to file a complaint with the agency's EEO office within the time prescribed by EEOC regulations (at 29 C.F.R. Part 1614).
- Marital Status and Political Affiliation: OSC is authorized to investigate discrimination based on marital status or political affiliation. <u>5 U.S.C.</u> § 2302(b)(1).
- <u>Sexual Orientation and Gender Identity:</u> OSC is authorized to investigate discrimination based on sexual orientation and gender identity.
 <u>5 U.S.C. §§ 2302(b)(1)</u> and (b)(10). EEOC also may have jurisdiction over complaints of discrimination on these bases.

Complaints Involving Veterans Rights. By law, all complaints alleging denial of veterans' preference requirements or USERRA must be filed with the Veterans Employment and Training Service (VETS) at the Department of Labor (DOL). 38 U.S.C. § 4301, et seq., and 5 U.S.C. § 3330a(a).



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PART 2: SELECT YOUR PPPs

Please check <u>ALL</u> that apply (you MUST check one option). A customized series of questions will appear following the "Biographical Information" section, below, based on your selections. You can return to this part at any time prior to submitting your complaint if you would like to add or remove allegations. All fields allow ample space to respond, but each question has a character limit; if you can no longer type you have hit the limit.

RETALIATION CLAIMS

✓ Retaliation for Whistleblowing

Retaliation for reporting a violation of law, rule, or regulation; gross mismanagement; gross waste of funds; abuse of authority; a substantial and specific danger to public health or safety; or censorship related to scientific research.

✓ Retaliation for Protected Activity

Retaliation for filing a complaint or grievance; assisting another with a complaint or grievance; cooperating with an OSC, OIG, or internal investigation; or refusing to obey an illegal order.

ILLEGAL SELECTION PRACTICE CLAIMS

✓ Obstruct Competition

Intentionally deceive or obstruct anyone from competing for federal employment.

✓ Give Unauthorized Preference

Give an unauthorized preference or advantage, including defining the manner or scope of competition, to improve or injure the employment prospects of any person.

Influence or encourage anyone to withdraw from competition to improve or injure the employment prospects of any person.

✓ Nepotism

Involvement in the appointment, promotion, or advancement of a relative, or advocacy on behalf of a relative.

✓ Improper Political Recommendation

Request or consider a recommendation based on political connections or influence rather than one based on personal knowledge of a person's ability to perform a job.

√ Violate Veterans' Preference

Take or fail to take, recommend, or approve a personnel action if doing so would violate a veterans' preference requirement. This type of complaint must be filed with the Department of Labor. Please click <u>here</u> to go to that site.



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DISCRIMINATION CLAIMS

✓ Discrimination for Non-Job-Related Conduct

Discrimination for conduct that does not adversely affect job performance, including claims of sexual orientation or gender identity discrimination.

✓ Other Bases of Discrimination

OSC examines claims of discrimination based on **marital status** and **political affiliation**. OSC does <u>NOT</u> ordinarily investigate claims of discrimination based on race, color, religion, sex, national origin, age, and handicapping condition. These claims are typically better filed with an agency's EEO office.

OTHER CLAIMS

✓ Improper Personnel Actions

Take or fail to take a personnel action if doing so would violate any law, rule, or regulation implementing or directly concerning a merit system principle.

✓ Non-Disclosure Agreement

Implement or enforce a non-disclosure agreement or policy that lacks notification of whistleblower rights.

✓ Improper Accessing of Medical Records

Accessing the medical records of another employee or applicant for employment as a part of, or otherwise in furtherance of, the commission of a prohibited personnel practice.

✓ Coerce Political Activity

Coerce a person to engage in political activity, to include providing a political contribution or service, or take action against a person for doing so.

✓ Other

Please use this area to describe employment problems that do not fall into one of the categories listed above.



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OSC Form-14		
PROHIBITED PERSONNEL	DRACTICE	C

PART 3: BIOGRAPHICAL INFORMATION

* Denotes Required Fields

	Denotes Required Fields
1.	Complainant Information:
	Title
	First Name* Middle Initial
	Last Name*
2.	Contact Information:
	Address Location* Domestic International
3.	Do you have representation?*
4.	Complainant's employment status:*
	Current Federal Employee
	Former Federal Employee
	Applicant For Federal Employment
	☐ Non-Federal Employee (please specify below)
5.	If current or former federal employee, please list most recent position title, series, grade:
	Title (for instance, Investigator)
	Series (for instance, GS-1810)
	Grade (for instance, GS-9)
6.	Please provide your dates of employment in this position.
7.	Department name:*
8.	Agency name:*
9.	Agency subcomponent:
10	. Street Address:
11	. City:*
12	. State:*
13	. Zip Code:
14	Are you covered by a collective bargaining agreement? (Check one.) Yes No I don't know
15	. Which of the following apply to your employment status? (Check all applicable items.)



Navigation Bar	a. Competitive Service	
■ Add / Delete a Complaint	☐ Temporary appointment ☐ Career or career-condit	ional appointment
Prohibited Personnel	☐ Term appointment ☐ Probationary employee	
Practices (PPP)	b. Excepted Service	
About Filing a Complaint	☐ Schedule A☐ Schedule B☐ National Guard Technician☐ Postal Service	Schedule C
Select your PPPs	Tennessee Valley Authority Non-appropriated fund	
Biographical Information	Other (specify):	
Your Complaint	c. Senior Executive Service (SES) or Executive Level	
Retaliation for Whistleblowing	Career SES Executive Level V or ab	
Retaliation for Protected Activity	d. Other	(Senate-committed)
Obstruct Competition	☐ Civil service annuitant ☐ Military officer or enliste	ed person
Give Unauthorized Preference	☐ Former civil service employee☐ Contract employee☐ Other (specify):	
Encourage Withdrawal from Competition	16. What other action(s), if any, have you taken to appeal, grieve, or under any other procedure? (Check all that apply.)	report this matter
Nepotism	None, or not applicable	
Improper Political Recommendation	Appeal with Merit Systems Protection Board (MSPB)	Date:
Violate Veterans'	Grievance under collective bargaining agreement procedure	Date:
Preference	Grievance filed under agency grievance procedure	Date:
Discrimination for Non-Job-Related Conduct	Discrimination complaint filed with agency	Date:
Other Bases of	USERRA claim with VETS (Department of Labor)	Date:
Discrimination	Appeal filed with Office of Personnel Management	Date:
Improper Personnel Actions	Lawsuit filed in Federal Court	Date:
Non-Disclosure Agreement	Court name:	
Improper Accessing of Medical Records	Reported matter to agency Inspector General	Date:
Coerce Political Activity	Reported matter to member of Congress	Date:
Other	Name of Senator or Representative:	
Attachments	Other (specify):	Date:
Consent	17. What action would you like for OSC to take if we find that a proh	nihited nersonnel
Certification	practice has occurred?	moreou percentier
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PART 4: DETAILS OF YOUR COMPLAINT

Retaliation for Whistleblowing

An agency official is prohibited from taking, failing to take, or threatening to take or fail to take, a personnel action against an employee or applicant because the individual made a disclosure of information that s/he reasonably believed evidenced wrongdoing (*i.e.*, a violation of any law, rule, or regulation; gross mismanagement; a gross waste of funds; an abuse of authority; substantial and specific danger to public health or safety; or censorship related to scientific research.) <u>5 U.S.C. § 2302(b)(8)</u>. This is commonly referred to as a retaliation for whistleblowing claim.

IMPORTANT INFORMATION ABOUT RETALIATION ALLEGATIONS

YOU SHOULD LIST ALL DISCLOSURES AND PERSONNEL ACTIONS
INVOLVED IN YOUR COMPLAINT. This is because: (1) failure to list any
disclosure or personnel action may delay the processing of your complaint by OSC;
and (2) a comprehensive listing will help avoid disputes in any later Individual Right
of Action (IRA) appeal that you may file with the Merit Systems Protection Board
(MSPB).

You may add additional allegations of retaliation for whistleblowing to this complaint while it is pending at OSC. Submission of any additional allegations to OSC in writing will help you if you later decide to file an IRA appeal with the MSPB.

To establish its jurisdiction over an IRA appeal, the MSPB will require you to show that your IRA appeal relates to the same disclosure(s) and personnel action(s) raised in your complaint to OSC. The following documents will help meet this requirement: a copy of the retaliation allegations in your complaint, any additional allegation(s) of retaliation that you submitted to OSC in writing while the complaint was pending, and any official correspondence you receive from OSC about your complaint. IT IS IMPORTANT, THEREFORE, THAT YOU SAVE COPIES OF ALL THESE DOCUMENTS FOR YOUR RECORDS.

If OSC fails to complete its review of your whistleblower retaliation allegation within 120 days after it receives your complaint, or if it closes your complaint at any time without seeking corrective action on your behalf, you have the right to file an IRA appeal with the MSPB. <u>5 U.S.C.</u> § 1214(a)(3).

Please <u>briefly</u> answer the following questions about your retaliation claim. If there is more than one instance, you may repeat the process until you have answered the questions for each instance. To do so, click the "Add Another Retaliation for Whistleblowing Claim" button at the end of this section. You will have an opportunity to attach supporting documentation before you submit your form.

 What did you disclose? If you made your disclosure in writing, please attach a copy to your complaint before you submit it.**



Navigation Bar	2	When did you disclose it?		
■ Add / Delete a Complaint	۷.	When did you disclose it:		
Prohibited Personnel		-		
Practices (PPP) About Filing a Complaint	3.	To whom did you make you	ur disclosure?	
Select your PPPs				
Biographical Information	4.	How did you learn of the inf	formation you disclosed?	
Your Complaint				
Retaliation for Whistleblowing	5.	When and how did agency	officials learn about your disclosure?	
Retaliation for Protected Activity	6.		take in response to your disclosure? (For example, did	
Obstruct Competition		the agency investigate or otherwise look into what you disclosed or was disciplinary action taken against responsible parties?)		
Give Unauthorized Preference				
Encourage Withdrawal from Competition		because of your disclosure	o you believe was taken, not taken, or threatened?	
Nepotism		Check all applicable: Removal	Reinstatement	
Improper Political Recommendation		Suspension	Reassignment	
Violate Veterans' Preference		Other Discipline	Harassment/Hostile Work Environment	
Discrimination for		VA Expedited Process	Psychiatric Examination	
Non-Job-Related Conduct		Gag Order	Performance Evaluation Changes to Duties (Marking Conditions)	
Other Bases of Discrimination		Detail Promotion	☐ Changes to Duties/Working Conditions☐ Pay, Benefits, Training	
Improper Personnel Actions		Appointment	Other	
Non-Disclosure Agreement		Describe:	- Other	
Improper Accessing of Medical Records				
Coerce Political Activity	8.	When was the personnel a	ction(s) taken? By whom?	
Other				
Attachments	9.	What was the agency's sta	ted reason for taking the personnel action(s)?	
Consent				
Certification	10	What facts demonstrate tha	at the personnel action(s) is retaliatory? (For example,	
Submission		were comments made that	suggest that agency officials were angry because of relationships cool following your disclosure?)	
		,		



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11	. Why do you believe agency officials would retaliate against you? (For example, did
	agency officials suffer some adverse impact or embarrassment because of your
	disclosure?)

12. Please provide the name, title, and position in your chain of command of the agency official(s) involved in taking the personnel action(s) that you believe was retaliatory.

F	irst Name	Last Name	Title (e.g., Deputy Director)	Chain of Command (e.g., 1st level supervisor)
				Del

Add Row

13. Were the agency officials involved in taking the personnel actions against you accused of wrongdoing in your disclosures? If yes, which ones?

Add Another Retaliation for Whistleblowing Claim

Retaliation for Protected Activity

An agency official is prohibited from taking, failing to take, or threatening to take or fail to take a personnel action against any employee or applicant for federal employment because of (A) the exercise of an appeal, complaint, or grievance right granted by any law, rule or regulation; (B) testifying or otherwise lawfully assisting any individual in the exercise of any such right; (C) cooperating with or disclosing information to the Inspector General (or any other component responsible for internal investigation or review) of any agency, or the Special Counsel; or (D) refusing to obey an order that would require the individual to violate a law, rule, or regulation. 5 U.S.C. § 2302(b)(9).



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IMPORTANT INFORMATION ABOUT RETALIATION ALLEGATIONS

YOU SHOULD LIST ALL PROTECTED ACTIVITIES AND PERSONNEL ACTIONS INVOLVED IN YOUR COMPLAINT. This is because: (1) failure to list any protected activity or personnel action may delay the processing of your complaint by OSC; and (2) a comprehensive listing will help avoid disputes in any later Individual Right of Action (IRA) appeal that you may file with the Merit Systems Protection Board (MSPB).

You may add additional allegations of retaliation for engaging in protected activities to this complaint while it is pending at OSC. Submission of any additional allegations to OSC <u>in writing</u> will help you if you later decide to file an IRA appeal with the MSPB.

To establish its jurisdiction over an IRA appeal, the MSPB will require you to show that your IRA appeal relates to the same protected activities and personnel action(s) raised in your complaint to OSC. The following documents will help meet this requirement: a copy of the retaliation allegations in your complaint, any additional allegation(s) of retaliation that you submitted to OSC in writing while the complaint was pending, and any official correspondence you receive from OSC about your complaint. IT IS IMPORTANT, THEREFORE, THAT YOU SAVE COPIES OF ALL THESE DOCUMENTS FOR YOUR RECORDS.

If OSC fails to complete its review of your retaliation allegation within 120 days after it receives your complaint, or if it closes your complaint at any time without seeking corrective action on your behalf, you have the right to file an IRA appeal with the MSPB. <u>5 U.S.C.</u> § 1214(a)(3).

Please briefly answer the following questions about your retaliation claim. If there is more than one instance, you may repeat the process until you have answered the questions for each instance. To do so, click the "Add Another Retaliation for Protected Activity Claim" button at the end of this section. You will have an opportunity to attach supporting documentation before you submit your form.

1.	In what protected activity did you engage?
	Filed a complaint, appeal, or grievance
	Testified for or lawfully assisted an individual in the exercise of their right to file
	a complaint, appeal, or grievance
	Cooperated with or disclosed information to an Inspector General, OSC, or other investigator
	Refused to obey an order that would require you to violate a law, rule, or regulation
	Other
2.	When did you engage in the protected activity?
2	Please briefly describe the nature of your protected activity.
٥.	riease briefly describe the flature of your protected activity.



Navigation Bar	4	What action did the agency	take in response to your protected activity? (For
Add / Delete a Complaint	7.	example, did the agency in	vestigate or otherwise look into what you disclosed or
Prohibited Personnel Practices (PPP)		was disciplinary action take	en against responsible agency officials?)
About Filing a Complaint	5.	When and how did agency	officials learn about your protected activity?
Select your PPPs			
Biographical Information	6	What personnel action(s) do	o you believe was taken, not taken, or threatened
Your Complaint	0.	because of your disclosure?	
Retaliation for Whistleblowing		Check all applicable: Removal	Reinstatement
Retaliation for Protected Activity		Suspension	Reassignment
Obstruct Competition		Other Discipline	Harassment/Hostile Work Environment
Give Unauthorized		☐ VA Expedited Process	Psychiatric Examination
Preference		Gag Order	Performance Evaluation
Encourage Withdrawal from Competition		Detail	Changes to Duties/Working Conditions
Nepotism		Promotion	Pay, Benefits, Training
Improper Political Recommendation		Appointment Describe:	Other
Violate Veterans' Preference			
Discrimination for Non-Job-Related Conduct	7.	When was the personnel ac	ction(s) taken? By whom?
Other Bases of Discrimination	8.	What was the agency's star	ted reason for taking the personnel action(s)?
Improper Personnel Actions			
Non-Disclosure Agreement	9.	What facts demonstrate that	at the personnel action(s) is retaliatory? (For example,
Improper Accessing of Medical Records			suggest that agency officials were angry because of d your relationships cool following your actions?)
Coerce Political Activity			
Other	10	. Why do you believe agency	/ officials would retaliate against you? (For example, did
Attachments			e adverse impact or embarrassment because of your
Consent		protected activity?)	
Certification			
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lavigation Bar	11.	Please provide t	he name, title, a	and position in your chai	n of command of the agency		
Add / Delete a Complaint							
Prohibited Personnel Practices (PPP)		First Name	Last Name	Title (e.g., Deputy Director)	Chain of Command (e.g., 1 st level supervisor)		
About Filing a Complaint					Del		
Select your PPPs							
Biographical Information		Add Row					
Your Complaint	12			ed in taking the personn	nel action(s) against you cted activity? If yes, which		
Retaliation for Whistleblowing		ones?	igaoing in your t	complaint of other protect	Sted activity? If yes, which		
Retaliation for Protected Activity							
Obstruct Competition				Another Retaliation for tected Activity Claim			
Give Unauthorized Preference				ructed Competition	_		
Encourage Withdrawal from Competition				ited from deceiving or wal employment. <u>5 U.S.C.</u>	illfully obstructing an § 2302(b)(4). This section		
Nepotism				official willfully engaged			
Improper Political Recommendation	mi	otherwise adversely affect an individual from being considered for a position. A mistake, oversight, or error is not a prohibited personnel practice. Likewise, the selection of a qualified candidate who, at the outset of the competition, was the preferred candidate (<i>i.e.</i> , "pre-selection") does not automatically constitute a willful obstruction of one's right to compete. Please <u>briefly</u> answer the following questions about your claim of willful obstruction. If there is more than one instance, you may repeat the process until					
Violate Veterans' Preference	pre						
Discrimination for Non-Job-Related Conduct	ob						
Other Bases of Discrimination	you have answered the questions for each instance. To do so, click the "Add Another Obstructed Competition Claim" button at the end of this section. You						
Improper Personnel Actions		II nave an oppor ur form.	tunity to attach	n supporting documen	tation before you submit		
Non-Disclosure Agreement			arada and titla	of the position for which	a volumere competing if		
Improper Accessing of Medical Records	State the series, grade, and title of the position for which you were competing applicable.						
Coerce Political Activity							
Other	2.	How was the po	sition filled (e.g.	, vacancy announcemer	nt, detail, reassignment)?		
Attachments							
Consent	3.	Was the position	in the competit	ive or excepted service?			
Certification		Competitive	Service E	Excepted Service			
Submission	4.	Was the position	advertised?	Yes No			
	5.	How was this po	sition advertised Internally	d? (Check all that apply.)		
	6.	Did you apply fo	r the position?	Yes No			



Navigation Bar	7.	State the name and	title of the agency official(s	s) who deceived or obstructed you		
◆ Add / Delete a Complaint		from competing for federal employment.				
Prohibited Personnel Practices (PPP)		First Name	Last Name	Title (e.g., Deputy Director)		
About Filing a Complaint				Del		
Select your PPPs		Add Row				
Biographical Information						
Your Complaint	8.	State how the involved agency official(s) deceived or obstructed you from competing for federal employment. (For example, what did he/she say or do to				
Retaliation for Whistleblowing		obstruct you from co		bie, what did ne/sne say or do to		
Retaliation for Protected Activity	9.	Why do you believe	the identified agency offici	fal(s) wanted to obstruct your right to		
Obstruct Competition		compete?	Why do you believe the identified agency official(s) wanted to obstruct your right to compete?			
Give Unauthorized Preference						
Encourage Withdrawal from Competition			Add Another Obs Competition C			
Nepotism		Give Unauthorized Preference An agency official is prohibited from granting an unauthorized preference or Ivantage to any employee or applicant for the purpose of improving or injuring the ospects of any particular person for employment. 5 U.S.C. § 2302(b)(6). Please note at the selection of a qualified candidate who, at the outset of the competition, was the				
Improper Political Recommendation						
Violate Veterans' Preference	tha					
Discrimination for Non-Job-Related Conduct		eference or advantag	e.	alone constitute an unauthorized		
Other Bases of Discrimination		eference or advantag	e claim. If there is more t	han one instance, you may repeat tions for each instance. To do so,		
Improper Personnel Actions	cli	ck the "Add Anothe	er Give Unauthorized Pre	ference Claim" button at the end of		
Non-Disclosure Agreement		s section. You will l fore you submit you		ttach supporting documentation		
Improper Accessing of Medical Records		Please state the job	title, series, and grade of t			
Coerce Political Activity		unauthorized prefer	ence or advantage was gra	anted.		
Other						
Attachments	2.	How was the position	on filled (e.g., vacancy anno	ouncement, detail, reassignment)?		
Consent						
Certification	3.	Was the position in t	the competitive or excepted	d service?		
Submission		Competitive Serv	vice Excepted Servi	ce		
		Was the position adv How was this position Externally	vertised? Yes Non advertised? (Check all the Internally N/A			



Navigation Bar	6.		• • • • • • • • • • • • • • • • • • • •	s) who granted the unauthorized		
◆ Add / Delete a Complaint		preference or advan		1		
Prohibited Personnel Practices (PPP)		First Name	Last Name	Title (e.g., Deputy Director)		
About Filing a Complaint				Del		
Select your PPPs		Add Row				
Biographical Information			l			
Your Complaint	7.	State the name, title unauthorized prefer		e) of the person who received the		
Retaliation for Whistleblowing						
Retaliation for Protected Activity	8.	specific actions did		age this person? (For example, what improve the employment prospects of		
Obstruct Competition		this person?)				
Give Unauthorized Preference		What motivated the	agency official to advanta	ge this person?		
Encourage Withdrawal from Competition			agono, omola to auvania	go uno porcorri		
Nepotism	10	. What facts indicate	that the involved agency of	official(s) granted the unauthorized		
Improper Political Recommendation		preference or advar being selected?	stage for the purpose of im	proving this person's chances of		
Violate Veterans' Preference						
Discrimination for Non-Job-Related Conduct	11		n(s) does the individual lac	alified for the position, which of the ck? How do you know the individual		
Other Bases of Discrimination		does not meet the re	equirement(s):			
Improper Personnel Actions			Add Another Give U	nouthorized		
Non-Disclosure Agreement			Preference C			
Improper Accessing of Medical Records		End	courage Withdrawal fr	om Competition		
Coerce Political Activity	inc			encing, or trying to influence, an		
Other	individual to withdraw from competition for any position for the purpose of iminjuring the prospects of any other person for employment. 5 U.S.C. § 2302(kg)					
Attachments		_	0 .	ions about your claim concerning		
Consent	improper influence. If there is more than one instance, you may repeat until you have answered the questions for each instance. To do so, or					
Certification		"Add Another Encourage Withdrawal from Competition Claim" button at the end				
Submission		this section You wi		attach supporting documentation		



Navigation Bar						
◆ Add / Delete a Complaint	1.	State the series, gra	de, and title of the position	for which you were competing.		
Prohibited Personnel Practices (PPP)						
About Filing a Complaint	2.	How was the positio	n filled (e.g., vacancy anno	ouncement, detail, reassignment)?		
Select your PPPs						
Biographical Information	3.	Was the position in t	he competitive or excepted	d service?		
Your Complaint		Competitive Service Excepted Service				
Retaliation for	4.	Was the position adv	Was the position advertised?			
Whistleblowing	5.	•	n advertised? (Check all th	hat apply.)		
Retaliation for Protected Activity			nternally			
Obstruct Competition		Did you apply for the				
Give Unauthorized Preference			ndraw from competition.	s) who influenced, or tried to		
Encourage Withdrawal from		First Name	Last Name	Title (e.g., Deputy Director)		
Competition				Del		
Nepotism		Add Dow				
Improper Political Recommendation	8	Add Row State how the involv	red agency official(s) influe	nced, or tried to influence, you to		
Violate Veterans' Preference	0.	withdraw from comp		niced, of thed to initiaerice, you to		
Discrimination for Non-Job-Related Conduct	9.	What facts indicate t	that the agency official sou	ght to improve or injure someone's		
Other Bases of Discrimination		chances of being selected? (For example, did someone benefit from your withdrawal? Would someone have benefited had you withdrawn?)				
Improper Personnel Actions						
Non-Disclosure Agreement			Add Another Encourage	e Withdrawal		
Improper Accessing of Medical Records			from Competition	Claim		
Coerce Political Activity						
Other						
Attachments						
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Submission						



For instructions or questions, call the Case Review Division at (202) 804-7000.

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Nepotism

A public official is prohibited from engaging in nepotism (*i.e.*, hiring, promoting, advancing, or advocating for the appointment, employment, promotion, or advancement of any relative). <u>5 U.S.C. 2302(b)(7)</u>. The word "relative," means a father, mother, son, daughter, brother, sister, uncle, aunt, first cousin, nephew, niece, husband, wife, father-in-law, mother-in-law, son-in-law, daughter-in-law, brother-in-law, sister-in-law, stepfather, stepmother, stepson, stepdaughter, stepbrother, stepsister, half-brother, or half-sister. <u>5 U.S.C. § 3110(a)(3)</u>.

Please <u>briefly</u> answer the following questions about your nepotism claim. If there is more than one instance, you may repeat the process until you have answered the questions for each instance. To do so, click the "Add Another Nepotism Claim" button at the end of this section. You will have an opportunity to attach supporting documentation before you submit your form.

1. State the name and title of the public official(s) who engaged in nepotism.

First Name	Last Name	Title (e.g., Deputy Director)
		Del
ALLB		

Add Row

2.	Identify the relative for whom the official acted or advocated.

- 3. How is the public official related to the person for whom s/he acted or advocated? How do you know that they are related?
- 4. When and how did the public official play a part in appointing, employing, promoting, advancing, or advocating for his/her relative?
- 5. To your knowledge, has anyone previously alleged nepotism based on the relationship between this public official and his/her relative?

Yes	No

Add Another Nepotism Claim



For instructions or questions, call the Case Review Division at (202) 804-7000.

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Improper Political Recommendation

An agency official is prohibited from soliciting or considering any employment recommendation or statement, unless it is based on personal knowledge. <u>5 U.S.C. § 2302(b)(2)</u>. This section is intended to prevent the use of *political* influence to obtain a position or promotion.

Please <u>briefly</u> answer the following questions about your claim of an improper recommendation. If there is more than one instance, you may repeat the process until you have answered the questions for each instance. To do so, click the "Add Another Improper Political Recommendation Claim" button at the end of this section. You will have an opportunity to attach supporting documentation before you submit your form.

- Describe the employment recommendation that was solicited or considered. (For example, for what employment opportunity was it solicited or considered? When was it issued? Who was the beneficiary or intended beneficiary of the recommendation?)
- 2. How did you learn about the solicitation or consideration of the recommendation?
- 3. State the name and title of the agency official(s) who solicited or considered the recommendation.

First Name	Last Name	Title (e.g., Deputy Director)
		Del

Add Row

- Was the recommendation received from a member of Congress? If so, (a) please identify the member of Congress, and (b) describe the nature of the recommendation.
- 5. If an employment recommendation was made, was it based on the personal knowledge of the person who made it? For example, was the recommendation based on observations derived from an employment relationship?
- If you believe that an employment recommendation was not based on the personal knowledge of the person who made it, please describe the facts supporting your belief.

Add Another Improper Political Recommendation Claim



For instructions or questions, call the Case Review Division at (202) 804-7000.

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Violation of Veterans' Preference

An agency official is prohibited from taking or failing to take a personnel action if doing so would violate veterans' preference. 5 U.S.C. § 2302(b)(11). While such actions constitute a prohibited personnel practice, generally, employees must file these claims through the Department of Labor. More information on filing these complaints with DOL can be found on their website.

Discrimination for Non-Job-Related Conduct

An agency official is prohibited from discriminating against an employee or applicant on the basis of conduct that does not adversely affect the performance of the employee or applicant, or the performance of others. <u>5 U.S.C. § 2302(b)(10)</u>. This could include, for example, discrimination based on sexual orientation or gender identity.

Please <u>briefly</u> answer the following questions about your discrimination claim to help OSC determine whether there is sufficient information to warrant further inquiry into this allegation. If there is more than one instance, you may repeat the process until you have answered the questions for each instance. To do so, click the "Add Another Discrimination for Non-Job-Related Conduct Claim" button at the end of this section. You will have an opportunity to attach supporting documentation before you submit your form.

1.	. For what conduct do you believe you have faced discrimination?						
2.	Does your condu	uct involve your	sexual orientation?	Yes No			
3.	Does your condu	uct involve your	gender identity?	Yes No			
4.		e did you engag , away from worl		example, did it occur before/			
5.			n in your chain of commased on your conduct.	nand of the agency official(s)			
	First Name	Last Name	Title (e.g., Deputy Director)	Chain of Command (e.g., 1st level supervisor)			
				Del			
	Add Row						
6.	If you know, stat	te when and hov	v the agency official(s) le	earned of your conduct.			
7.	State how the agency official(s) discriminated against you based on your conduct. Check all applicable:						
	Removal		Reinstatement				
	Suspension		Reassignment				



Navigation Bar		Other Discipline	Harassment/Hostile Work Environment			
◆ Add / Delete a Complaint		VA Expedited Process	Psychiatric Examination			
Prohibited Personnel Practices (PPP)		Gag Order	Performance Evaluation			
About Filing a Complaint		☐ Detail	Changes to Duties/Working Conditions			
Select your PPPs		Promotion	Pay, Benefits, Training			
Biographical Information		Appointment	Other			
Your Complaint		Describe:				
Retaliation for Whistleblowing						
Retaliation for Protected Activity	8.	based on your conduct? (Fe	What facts indicate that the involved agency official(s) discriminated against you based on your conduct? (For example, did the agency official(s) make negative comments about your conduct? Were other employees who did not engage in such			
Obstruct Competition		conduct treated differently f	1 7			
Give Unauthorized Preference						
Encourage Withdrawal from Competition		Ad Noi	d Another Discrimination for n-Job-Related Conduct Claim			
Nepotism		Oth	er Bases of Discrimination			
Improper Political Recommendation	(Вг	sed on Race, Color, Religion, Sex,	National Origin, Age, Disability, Marital Status, or Political Affiliation) rohibited from discriminating for or against any employee			
Violate Veterans' Preference		applicant for employment or	n the basis of race, color, religion, sex, national origin, g condition), marital status or political affiliation.			
Discrimination for Non-Job-Related Conduct	<u>5 l</u>	J.S.C. § 2302(b)(1). OSC ro	utinely examines claims of discrimination based on ffiliation. However, we defer nearly all claims of			
Other Bases of Discrimination	ha	ndicapping condition) to the	color, religion, sex, national origin, age, disability (or EEO process. Filing an OSC complaint based upon one			
Improper Personnel Actions			the deadlines for filing an EEO complaint. While in and gender identity discrimination are also sex			
Non-Disclosure Agreement	dis	crimination, OSC also exam	nines these allegations as complaints of			
Improper Accessing of Medical Records	se		Related Conduct. If you are making an allegation of identity discrimination, please complete the			
Coerce Political Activity	ļ .	Please briefly answer	the following questions about your discrimination claim. If			
Other			nce, you may repeat the process until you have each instance. To do so, click the "Add Another			
Attachments	Ot	her Bases of Discrimination	on Claim" button at the end of this section. You will			
Consent		ve an opportunity to attac m.	h supporting documentation before you submit your			
Certification						
Submission						



Navigation Bar	1.	What is the basis	s of your dis	scrim	nination claim?	
◆ Add / Delete a Complaint		Race			☐ National C	Prigin
Prohibited Personnel		Color			Age	
Practices (PPP)		Religion			Marital Sta	
About Filing a Complaint		☐ Sex ☐ Disability (or I	handicanni	20.00	Political A	filiation
Select your PPPs					•	
Biographical Information	2.	•			asis? (For example, if yearried, single, widowed,	<u> </u>
Your Complaint						
Retaliation for Whistleblowing	_	What action(s) d Check all applica	-	cy ta	ike or fail to take?	
Retaliation for Protected Activity		Removal	3010.		Reinstatement	
Obstruct Competition		Suspension			Reassignment	
Give Unauthorized Preference		Other Discipli	ne		Harassment/Hostile Wo	rk Environment
Encourage Withdrawal from			Process		Psychiatric Examination	1
Competition		Gag Order			Performance Evaluation	1
Nepotism		Detail			Changes to Duties/Wor	king Conditions
Improper Political Recommendation		Promotion			Pay, Benefits, Training	
Violate Veterans' Preference		Describe:			Other	
Discrimination for Non-Job-Related Conduct						
Other Bases of Discrimination	4	When did the ac	ction(s) occi	ur?		
Improper Personnel Actions	_	State the name	title and no	neitio	n in your chain of comm	nand of the agency official(s)
Non-Disclosure Agreement		involved in the a		Joillo	in in your chain or comin	ialia of the agency official(3)
Improper Accessing of Medical Records		First Name	Last Nar	ne	Title (e.g., Deputy Director)	Chain of Command (e.g., 1 st level supervisor)
Coerce Political Activity						Del
Other						
Attachments		Add Row				
Consent	6.	What was the ag	gency's stat	ed re	eason(s) for the action(s)?
Certification						
Submission	7.	What facts supp	ort your as	sertic	on that the action was di	scriminatory?
		7.				



For instructions or questions, call the Case Review Division at (202) 804-7000.

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Add Another Other Bases of Discrimination Claim

Improper Personnel Actions

An agency official is prohibited from taking or failing to take a personnel action if doing so results in the violation of a law, rule, or regulation that implements, or directly concerns, a merit system principle listed in <u>5 U.S.C. § 2301</u>. <u>5 U.S.C. § 2302(b)(12)</u>. Retaliation for petitioning a member of Congress or exercising your First Amendment rights falls under this section.

Please <u>briefly</u> answer the following questions about your claim under this section. If there is more than one instance, you may repeat the process until you have answered the questions for each instance. To do so, click the "Add Another Improper Personnel Actions Claim" button at the end of this section. You will have an opportunity to attach supporting documentation before you submit your form.

1.	What was the personnel action(s) taken or not taken? Check all applicable:						
	Removal		Reinstatement				
	Suspension		Reassignment				
	Other Discipli	ne	Harassment/Hostile Wo	rk Environment			
	☐ VA Expedited	Process	Psychiatric Examination	1			
	Gag Order		Performance Evaluation	1			
	Detail		Changes to Duties/World	king Conditions			
	Promotion		Pay, Benefits, Training				
	Appointment		Other				
	Describe:						
2.	When was the p	ersonnel actic	n(s) taken or not taken?				
3.	State the name, involved in the p			and of the agency official(s)			
	First Name	Last Name	Title (e.g., Deputy Director)	Chain of Command (e.g., 1 st level supervisor)			
				Del			
	Add Row						



For instructions or questions, call the Case Review Division at (202) 804-7000.

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- 4. Describe the role played by each agency official listed above in the personnel action(s) that is the subject of your complaint. (*e.g.*, recommending official, proposing official, deciding official, approving official, etc.).
- 5. What law, rule, or regulation was violated by the agency's taking or failing to take the personnel action(s)?

Add Another Improper Personnel Actions Claim

Non-Disclosure Agreement

An agency official is prohibited from implementing or enforcing a non-disclosure policy, form, or agreement (commonly called a "gag order") if it does not contain a statement notifying employees and applicants for federal employment of their rights, obligations, and liabilities concerning classified information, communications to Congress, whistleblowing to an Inspector General, or any other whistleblower protection. 5 U.S.C. § 2302(b)(13).

Please <u>briefly</u> answer the following questions about this claim. **If there is more** than one instance, you may repeat the process until you have answered the questions for each instance. To do so, click the "Add Another Non-Disclosure Agreement Claim" button at the end of this section. You will have an opportunity to attach supporting documentation before you submit your form.

- Describe the non-disclosure policy or "gag order."
- 2. State the name, title, and position in your chain of command of the agency official(s) who implemented or enforced the non-disclosure agreement or policy.

First Name	Last Name	Title	Chain of Command
Thotramo		(e.g., Deputy Director)	(e.g., 1st level supervisor)
			Del

Add Row

- 3. When was the agreement or policy issued?
- 4. To whom does the agreement or policy apply (*i.e.*, does the agreement apply only to you, to the subordinates of a particular agency official(s), to a field office, or to the entire agency?)



Navigation Bar	5	Does the agreement or policy contain a statement concerning whistleblower rights?
◆ Add / Delete a Complaint	0.	Yes No
Prohibited Personnel Practices (PPP)		Add Another Non-Disclosure
About Filing a Complaint		Agreement Claim
Select your PPPs		Improper Accessing of Medical Records
Biographical Information		An agency official is prohibited from accessing the medical records of another
Your Complaint		ployee or applicant for employment as a part of, or otherwise in furtherance of, the mmission of a prohibited personnel practice. <u>5 U.S.C. § 2302(b)(14).</u>
Retaliation for Whistleblowing		Please briefly answer the following questions about your claim. If there is
Retaliation for Protected Activity	qu	ore than one instance, you may repeat the process until you have answered the estions for each instance. To do so, click the "Add Another Improper
Obstruct Competition		cessing of Medical Records Claim" button at the end of this section. You will ve an opportunity to attach supporting documentation before you submit your
Give Unauthorized Preference	for	m.
Encourage Withdrawal from Competition	1.	Who accessed your medical records?
Nepotism	2	When were they accessed?
Improper Political Recommendation	۷.	When were they decessed:
Violate Veterans' Preference	3.	Please provide any additional details you may have to describe how your records were accessed.
Discrimination for Non-Job-Related Conduct		
Other Bases of Discrimination	4.	What reason did the agency give to explain why they accessed your medical records? Why do you think they did so?
Improper Personnel Actions		
Non-Disclosure Agreement	5	What action, if any, did the agency take based on information learned from your
Improper Accessing of Medical Records	0.	medical records?
Coerce Political Activity		
Other	6.	Do you think that agency officials improperly accessed your medical records in connection with one of the other PPPs listed on this form? If so, please describe.
Attachments		Connection with one of the other FFF 3 listed on this form: If 30, please describe.
Consent		
Certification		Add Another Improper Accessing
Submission		of Medical Records Claim



For instructions or questions, call the Case Review Division at (202) 804-7000.

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Coerce Political Activity

An agency official is prohibited from coercing a person to engage in political activity, and from taking action against a person for refusing to do so. This section prohibits the coercion of a person's political activity, including providing any political contribution or service. § 2302(b)(3).

Please <u>briefly</u> answer the following questions about your claim of coerced political activity. If there is more than one instance, you may repeat the process until you have answered the questions for each instance. To do so, click the "Add Another Coerce Political Activity Claim" button at the end of this section. You will have an opportunity to attach supporting documentation before you submit your form.

1.	Describe the po	litical activity or	service you were coerce	ed into undertaking.				
2.	How did an age	ncy official atten	npt to coerce political ac	tivity?				
3.	When did the co	ercion occur?						
4.	State the name, involved in the c		on in your chain of comm	and of the agency official(s)				
	First Name	Last Name	Title (e.g., Deputy Director)	Chain of Command (e.g., 1 st level supervisor)				
				Del				
	Add Row							
5.			example, what were the ticipate in the political ac	stated or implied adverse ctivity or service?)				
6.	Have you also fi	led a Hatch Act	complaint with OSC bas	ed on this incident?				
	Yes No)						
	Add Another Coerce Political Activity Claim							
-								



For instructions or questions, call the Case Review Division at (202) 804-7000.

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Other

OSC also has jurisdiction over certain other activities prohibited by statute. If none of the categories of wrongdoing above apply to your circumstances, please tell us the basis of your complaint below. OSC will determine whether we have jurisdiction over your complaint. You will have an opportunity to attach supporting documentation before you submit your form.

Attachments

I would like to attach documents to my complaint.

Please note that the space available for attachments is limited. Therefore, **DO NOT** attach every document and email that may be relevant to your claim. You will have an opportunity to make additional submissions at a later date. We recommend limiting attachments to official forms and correspondence that document the action(s) at issue in your complaint (*e.g.*, proposed AND final disciplinary action, along with any written reply you submitted; letter of reprimand; performance appraisal; PIP; vacancy announcement) **if these documents are relevant to your allegations.**

To see the attachments that have been successfully added to your form, click on the paperclip icon in the dark gray panel on the far left side of your screen. Please note that, if you print a copy of your form, the attachments will not print with it. However, any documents that appear in the paperclip panel will be transmitted to OSC.



For instructions or questions, call the Case Review Division at (202) 804-7000.

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PART 5: CONSENT TO CERTAIN DISCLOSURES OF INFORMATION

* Denotes Required Fields

OSC asks everyone who files a complaint alleging a possible prohibited personnel practice or other prohibited activity to select one of three Consent Statements shown below. Please: (a) select and check one of the Consent Statements below; and (b) keep a copy for your own records.

If you initially select a Consent Statement that restricts OSC's use of information, you may later select a less restrictive Consent Statement. If your selection of Consent Statement 2 or 3 prevents OSC from being able to conduct an investigation, an OSC representative will contact you, explain the circumstances, and provide you with an opportunity to select a less restrictive Consent Statement.

You should be aware that the Privacy Act and other applicable federal laws allow information in OSC case files to be used or disclosed for certain purposes, regardless of which Consent Statement you sign. Information about certain circumstances under which OSC can use or disclose information under the Privacy Act appears in the Form Submission part of this form.

*(Please check ONLY one)

Γ		Consent	Statement	1
- 1	- 1	COMBUNE	Glaterieri	

I consent to OSC's communication with the agency involved in my complaint. I agree to allow OSC to disclose my identity and information about my complaint if OSC decides that such disclosure is needed to investigate my complaint (for example, to request information from the agency, or seek a possible resolution).

Consent Statement 2

I consent to OSC's communication with the agency involved in my complaint, but I do not agree to allow OSC to disclose my identity to that agency. I agree to allow OSC to disclose only information about my complaint, without disclosing my name or other identifying information, if OSC decides that such disclosure is needed to investigate my complaint (for example, to request information from the agency, or seek a possible resolution). I understand that in some circumstances, OSC could not maintain my anonymity while communicating with the agency involved about a specific personnel action. In such cases, I understand that my request for confidentiality may prevent OSC from taking further action on the complaint.

Consent Statement 3

I do not consent to OSC's communication with the agency involved in my complaint. I understand that if OSC decides that it cannot investigate my complaint without communicating with that agency, my lack of consent will probably prevent OSC from taking further action on the complaint.



U.S. Office of Special Counsel

Navigation Bar CERTIFICATION ■ Add / Delete a Complaint * Denotes Required Fields I certify that all of the statements made in this complaint are true, complete, and Prohibited Personnel Practices (PPP) correct to the best of my knowledge and belief. I understand that a false statement or concealment of a material fact is a criminal offense punishable by a fine, Certification imprisonment, or both 18 U.S.C. § 1001 Submission BURDEN: The burden for this collection of information (including the time for reviewing instructions, searching existing data sources, gathering the data needed. and completing and reviewing the form) is estimated to be an average of one hour to submit a disclosure of information alleging agency wrongdoing, one hour and fifteen minutes to submit a complaint alleging a prohibited personnel practice or other prohibited activity, or 30 minutes to submit a complaint alleging prohibited political activity. Please send any comments about this burden estimate, and suggestions for reducing the burden, to the U.S. Office of Special Counsel, General Counsel's Office, 1730 M Street, NW, Suite 218, Washington, DC 20036-4505. OTHER INFORMATION: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. PLEASE KEEP A COPY OF YOUR COMPLAINT, ANY SUPPORTING DOCUMENTATION, AND ANY ADDITIONAL ALLEGATIONS THAT YOU SEND TO OSC NOW OR AT ANY TIME WHILE YOUR COMPLAINT IS PENDING. REPRODUCTION CHARGES UNDER THE FREEDOM OF INFORMATION ACT MAY APPLY TO ANY REQUEST YOU MAKE FOR COPIES OF MATERIALS THAT YOU PROVIDED TO OSC. If you would like to print and mail your complaint, please address it to: U.S. Office of Special Counsel 1730 M Street, NW Suite 218 Washington, DC 20036